1 GILBERT R. SEROTA, CASB No. 75305 gserota@howardrice.com HOWARD RICE NEMEROVSKI CANADY FALK & RABKIN A Professional Corporation 3 Three Embarcadero Center, Seventh Floor San Francisco, California 94111-4024 Telephone: (415) 434-1600 4 Laringo Facsimile: (415) 217-5910 AUG 27 2007 5 Attorneys for Defendants Attorneys for Defendants

JOSEPH E. BARATTA and TBIG FINANCIAL SERVICES THE W. WIEKING

JOSEPH E. BARATTA and TBIG FINANCIAL SERVICES TO DISTRICT COURT 6 NORTHERN DISTRICT OF GALIFORNIA 7 8 UNITED STATES DISTRICT COURT 9 NORTHERN DISTRICT OF CALIFORNIA 10 11 VIOLETTA ETTARE. 12 Plaintiff. DECLARATION OF GILBERT R. 13 SEROTA IN SUPPORT OF DEFENDANTS' JOSEPH E. BARATTA JOSEPH E. BARATTA, an individual, 14 AND TBIG FINANCIAL SERVICES. TBIG FINANCIAL SERVICES, INC., form) INC. JOINDER IN DEFENDANTS' 15 of business unknown, WACHOVIA WACHOVIA SECURITIES, LLC AND SECURITIES, LLC, a Delaware Limited MARK WIELAND REMOVAL OF 16 Liability Company, MARK WIELAND, an) ACTION individual, and DOES 1-25. 17 Defendants. BY FAX 18 19 20 I, GILBERT R. SEROTA, declare as follows: 21 1. I am an attorney at law duly licensed to practice before this Court 22 and am a member of the law firm of Howard Rice Nemerovski Canady Falk & Rubin, 23 attorneys of record for Defendants JOSEPH E. BARATTA ("Baratta") and TBIG 24 FINANCIAL SERVICES, INC. ("TBIG") in this matter. I have personal knowledge of 25 the facts set forth below except for those stated upon information and belief and, if called 26 upon to testify as a witness, could and would testify competently thereto. 27 I am informed and believe TBIG is a corporation incorporated under 28

3.

August 27, 2007 in San Francisco, California.

the laws of Nevada, having its principal place of business in Incline Village, Nevada.

America that the foregoing is true and correct and that this declaration was executed on

GILBERT R. SEROTA

I am informed and believe Baratta is a citizen of the State of Nevada.

I declare under penalty of perjury under the laws of the United States of

- 2 -

KYL_LB1109651

PROOF OF SERVICE

2

1

STATE OF CALIFORNIA, COUNTY OF LOS ANGELES

3

I am employed in the County of Los Angeles, State of California. I am over the age of 18 and not a party to the within action; my business address is Keesal, Young & Logan, 400 Oceangate, P.O. Box 1730, Long Beach, California 90801-1730.

5

6

7

On August 27, 2007, I served the foregoing documents described as **DECLARATION OF GILBERT R. SEROTA IN SUPPORT OF DEFENDANTS' JOSEPH E. BARATTA AND TBIG FINANCIAL SERVICES, INC. JOINDER IN DEFENDANTS' WACHOVIA SECURITIES, LLC AND MARK WIELAND REMOVAL OF ACTION** on the parties in this action by placing a true copy thereof enclosed in a sealed envelope addressed as follows:

8

Christopher Cooke, Esq. Cooke Kobrick & Wu LLP 177 Boyet Road Suite 600 San Mateo, CA 94401 Tel: (650) 638-2370

1112

10

Fax: (650) 341-1395

13

14

BY U.S. MAIL: I enclosed the documents in a sealed envelope or package addressed to the above-named persons at the addresses exhibited therewith and (specify one):

1516

I deposited the sealed envelope with the United States Postal Service, with the postage fully prepaid.

17

18

I placed the envelope for collection and mailing, following our ordinary business practices. I am readily familiar with this firm's practice for collecting and processing correspondence for mailing. On the same day that correspondence is placed for collection and mailing, it is deposited in the ordinary course of business with the United States Postal Service, in a sealed envelope with postage fully prepaid.

19 20

I am a resident or employed in the county where the mailing occurred. The envelope or package was placed in the mail at Long Beach, California.

2122

Executed on August 27, 2007 at Long Beach, California.

23

I declare under penalty of perjury under the laws of the State of California and United States of America that the foregoing is true and correct.

24

I declare that I am employed in the office of a member of the bar of this Court at whose direction the service was made.

25

/

26

27

....

28

PENNY VINING